Contents

PREFACE TO THE FIRST EDITION			
PREFACE TO THE SECOND EDITION			
TABLE OF A	BBREVIATIONS	xvii	
JOURNALS		xxi	
PART I	Principles of International Copyright	1	
CHAPTER 1	Introduction	3	
	1.1 Common Rules and Principles	4	
	1.2 The Rationales of Copyright	6	
	1.3 The Politics of International Copyright	8	
	1.4 The Internationalization of Copyright	10	
	1.5 Outline of this Book	11	
CHAPTER 2	The Legal Traditions	13	
	2.1 The Copyright Tradition	15	
	2.2 The Traditions of Author's Right and Neighboring Rights	19	
	2.2.1 Author's Right	19	
	2.2.2 Neighboring Rights	22	
	2.3 Copyright as a Human Right	23	
CHAPTER 3	The Norms of International Copyright	27	
	3.1 Copyright Treaties	29	
	3.1.1 Bilateral Copyright Treaties	31	
	3.1.2 The Berne Convention	33	
	3.1.2.1 History	33	
	3.1.2.2 Structure and Substance	38	
	3.1.2.3 Residual Powers and Relations Among		
	Union Members	42	
	3.1.3 The Universal Copyright Convention	43	
	3.1.4 The WIPO Copyright Treaty	45	
	3.1.5 Which Treaty Governs?	47	

vi Contents

		3.1.5.1 Different Texts of the Berne Convention	48	
		3.1.5.2 Texts of Different Treaties	49	
	3.2	Neighboring Rights Treaties	54	
		3.2.1 Rome Convention	55	
		3.2.1.1 History	55	
		3.2.1.2 Protection of Performers	55	
		3.2.1.3 Protection of Producers of Phonograms	56	
		3.2.1.4 Protection of Broadcasters	57	
		3.2.1.5 Other Provisions	57	
		3.2.2 Geneva Phonograms Convention	58	
		3.2.3 WIPO Performances and Phonograms Treaty	59	
		3.2.4 Brussels Satellite Convention	61	
	3.3	Regional Arrangements	64	
		3.3.1 The European Union	64	
		3.3.2 Inter-American Copyright Agreements	69	
		3.3.3 Other Regional Arrangements	70	
	3.4	Trade Arrangements	71	
		3.4.1 TRIPs Agreement	73	
		3.4.1.1 History	73	
		3.4.1.2 Dispute Settlement	74	
		3.4.1.3 Conditions for Protection	76	
		3.4.1.4 Subject Matter, Ownership, and Term	76	
		3.4.1.5 Rights and Remedies	78	
		3.4.2 Regional and Bilateral Trade Agreements	80	
		3.4.2.1 Free Trade Agreements	80	
		3.4.2.2 Other Trade Agreements	86	
	3.5	Intergovernmental Organizations	88	
CHAPTER 4	Territoriality, National Treatment, Jurisdiction,			
	an	d Conflict of Laws	91	
	4.1	The Territoriality Principle	95	
		4.1.1 Territoriality in International Law	96	
		4.1.2 Territoriality in Copyright Law	97	
	4.2	National Treatment	99	
		4.2.1 The Trade Economics of National Treatment	102	
		4.2.2 "Works" Subject to National Treatment	104	
		4.2.2.1 Berne Convention	104	
		4.2.2.2 TRIPs Agreement	105	
		4.2.3 "Rights" Subject to National Treatment	108	
		4.2.3.1 Berne Convention	108	
		4.2.3.2 TRIPs Agreement	111	
		4.2.4 Most-Favored-Nation Requirement	112	
		4.2.4.1 "Works" Subject to Most-Favored-Nation Requirement	112	
		4.2.4.2 Exemptions from Coverage	113	

Contents vii

	4.3	3 Jurisdiction		115	
		4.3.1	Subjec	t Matter Jurisdiction	116
			4.3.1.1	Common Law Traditions; Copyright Infringement as a "Local" or "Transitory" Action	116
			4.3.1.2	Civil Law Tradition; the Brussels Regime	119
		4.3.2		Non Conveniens	120
		4.3.3	Hard C	ases	122
			4.3.3.1	Where Acts of Infringement Occur in More than One Country	122
			4.3.3.2	Where Elements of Infringement Occur in More than One Country	125
		4.3.4	Contra	ctual Choice of Forum	128
	4.4	Conf	lict of La	WS	129
		4.4.1	Where	No Contract Prescribes Applicable Law	131
			4.4.1.1	Authorship and Initial Ownership	133
			4.4.1.2	Subject Matter and Rights	137
		4.4.2	Law of	the Contract as Applicable Law	139
			4.4.2.1	When Parties Have Designated Applicable Law	142
			4.4.2.2	When Parties Have Not Designated Applicable Law	144
		4.4.3		the Law of the Protecting Country and the Law Contract Conflict	147
CHAPTER 5	Sco	ope a	nd Poi	nts of Attachment of International Protection	153
	5.1	Berne	e Conve	ntion	154
		5.1.1	Applica	ation of the Berne Convention	155
			5.1.1.1	Subject Matter Scope	155
			5.1.1.2	Temporal Scope	156
		5.1.2	Points	of Attachment	158
			5.1.2.1	Nationality or Residence of Author	158
			5.1.2.2	Country of Publication	160
	5.2	Unive	ersal Co	pyright Convention	163
	5.3	Othe	r Copyri	ght Treaties	164
	5.4	TRIPs	Agreen	nent	165
	5.5	Neigl	hboring	Rights Treaties	166
		5.5.1	Rome (Convention	166
			5.5.1.1	Performers	167
			5.5.1.2	Producers of Phonograms	168
			5.5.1.3	Broadcasting Organizations	169
		5.5.2	Geneva	a Phonograms Convention	169
	5.6	Point	s of Atta	achment under National Law	170
		5.6.1	United	States	170
			5.6.1.1	Unpublished Works	172
			5.6.1.2	Published Works	173
			5.6.1.3	Retroactive Protection for Works Lacking a Point of Attachment	177

viii Contents

	5.6.1.4 Moral Rights and Neighboring Rights	178
	5.6.2 Other Countries	180
PART II	Substantive Copyright Law	183
CHAPTER 6	Subject Matter of Copyright and Neighboring Rights	185
	6.1 Literary and Artistic Works	187
	6.1.1 Standards for Protection	189
	6.1.2 Classes of Subject Matter	194
	6.1.2.1 Literary Works	196
	6.1.2.2 Musical Works	198
	6.1.2.3 Works of Visual Art and Photography	198
	6.1.2.4 Dramatic Works	202
	6.1.2.5 Cinematographic Works	203
	6.1.2.6 Translations, Adaptations, and Other Derivative Works	204
	6.1.2.7 Compilations and Collective Works, Including Databases	205
	6.1.2.8 Utilitarian Works	203
	6.1.2.9 Computer Programs	208
	6.1.2.10 Applied Art and Industrial Design	205
	6.1.3 Unprotectible Subject Matter	216
	6.1.3.1 Ideas, Facts	216
	6.1.3.2 Official Texts	217
	6.1.4 Formalities	219
	6.1.4.1 General Prohibition	219
	6.1.4.2 United States	222
	6.1.5 Fixation	229
	6.2 Performances, Phonograms, Broadcasts, Databases,	
	and Other Productions	230
	6.2.1 Performances	233
	6.2.2 Phonograms	235
	6.2.3 Broadcasts	237
	6.2.4 Databases	238
	6.2.5 Formalities	240
CHAPTER 7	Authorship and Ownership	243
	7.1 Initial Authorship and Ownership	245
	7.2 Collaborative (Joint) Authorship	248
	7.3 Collective Works	251
	7.4 Works Made for Hire or under Employment	252
	7.5 Audiovisual Works (Including Cinematographic Works)	254
	7.5.1 National Law	255

Contents ix

	7.5.2 International Conventions	257
	7.5.2.1 Berne Convention	258
	7.5.2.2 Rome Convention	259
	7.6 Ownership by Transfer	260
	7.6.1 Limitations and Construction of Transfers	261
	7.6.1.1 Scope of Grant	264
	7.6.1.2 Term and Termination of Grant	266
	7.6.1.3 Remuneration	268
	7.6.1.4 Rights to Future Works	269
	7.6.2 Formalities and Priorities	269
	7.6.2.1 Formalities	269
	7.6.2.2 Priorities	271
	7.6.3 Collective Rights Management	273
CHAPTER 8	Term of Protection	277
	8.1 Copyright	279
	8.1.1 Traditional Literary and Artistic Works	279
	8.1.1.1 Individual Works	279
	8.1.1.2 Collective and Joint Works	281
	8.1.1.3 Anonymous and Pseudonymous Works	282
	8.1.2 Special Classes of Works	283
	8.1.2.1 Cinematographic Works	283
	8.1.2.2 Photographs and Works of Applied Art	284
	8.2 Neighboring Rights	285
	8.3 Comparison of Terms	287
	8.3.1 Berne Convention	287
	8.3.1.1 Scope	288
	8.3.1.2 Country of Origin	289
	8.3.2 Universal Copyright Convention	290
	8.3.3 E.C. Term Directive	292
	8.4 Retroactivity	294
CHAPTER 9	Economic Rights	297
	9.1 Copyright	300
	9.1.1 Reproduction Right	300
	9.1.2 Distribution	303
	9.1.2.1 Exhaustion (First Sale)	305
	9.1.2.2 Rental Rights	307
	9.1.2.3 Public Lending Right	310
	9.1.2.4 Droit de Suite (Resale Royalty Right)	312
	9.1.3 Translation and Adaptation	315
	9.1.4 Communication to the Public	317

x Contents

		9.1.4.1 Public Performance and Recitation	320
		9.1.4.2 Exhibition and Display	322
		9.1.4.3 Broadcasting	323
		9.1.4.4 Cable Retransmission	326
		9.1.4.5 Making Available Online	328
		9.1.5 Secondary (Contributory) Liability	330
		9.1.6 Anti-circumvention Rules	333
	9.2	Neighboring Rights	337
		9.2.1 Rights of Performers	338
		9.2.2 Rights of Phonogram Producers	340
		9.2.3 Rights of Broadcasting Organizations	342
		9.2.4 Other Neighboring Rights	342
CHAPTER 10	Mor	al Rights	345
	10.1	Right of Paternity	349
		Right of Integrity	351
		Right of Divulgation	353
	10.4	Right of Withdrawal	354
	10.5	Limitation and Waiver of Moral Rights	355
		10.5.1 Waiver	355
		10.5.2 Limitations	356
		10.J.2 Litilitations	550
CHAPTER 11	Exei	mptions, Statutory Licenses, and	550
CHAPTER 11			359
CHAPTER 11	Oth	mptions, Statutory Licenses, and	
CHAPTER 11	Oth 11.1	mptions, Statutory Licenses, and er Limitations on Exclusive Rights	359
CHAPTER 11	Oth 11.1	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test	359 364
CHAPTER 11	Oth 11.1	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses	359 364 367
CHAPTER 11	Oth 11.1	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship	359 364 367 368
CHAPTER 11	Oth 11.1 11.2	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship 11.2.2 Private Copying and Home Taping	359 364 367 368 370
CHAPTER 11	Oth 11.1 11.2	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship 11.2.2 Private Copying and Home Taping 11.2.3 Reverse Engineering	359 364 367 368 370 373
CHAPTER 11	Oth 11.1 11.2	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship 11.2.2 Private Copying and Home Taping 11.2.3 Reverse Engineering Incidental Uses	359 364 367 368 370 373 374
CHAPTER 11	Oth 11.1 11.2	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship 11.2.2 Private Copying and Home Taping 11.2.3 Reverse Engineering Incidental Uses 11.3.1 Ephemeral Copies for Broadcasting Purposes	359 364 367 368 370 373 374 374
CHAPTER 11	Oth 11.1 11.2 11.3	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship 11.2.2 Private Copying and Home Taping 11.2.3 Reverse Engineering Incidental Uses 11.3.1 Ephemeral Copies for Broadcasting Purposes 11.3.2 Transient Digital Copies	359 364 367 368 370 373 374 374 375
CHAPTER 11	Oth 11.1 11.2 11.3	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship 11.2.2 Private Copying and Home Taping 11.2.3 Reverse Engineering Incidental Uses 11.3.1 Ephemeral Copies for Broadcasting Purposes 11.3.2 Transient Digital Copies 11.3.3 Other Incidental Uses	359 364 367 368 370 373 374 374 375 377
CHAPTER 11	Oth 11.1 11.2 11.3	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship 11.2.2 Private Copying and Home Taping 11.2.3 Reverse Engineering Incidental Uses 11.3.1 Ephemeral Copies for Broadcasting Purposes 11.3.2 Transient Digital Copies 11.3.3 Other Incidental Uses Public Uses	359 364 367 368 370 373 374 374 375 377 378
CHAPTER 11	Oth 11.1 11.2 11.3	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship 11.2.2 Private Copying and Home Taping 11.2.3 Reverse Engineering Incidental Uses 11.3.1 Ephemeral Copies for Broadcasting Purposes 11.3.2 Transient Digital Copies 11.3.3 Other Incidental Uses Public Uses 11.4.1 Quotation and Parody	359 364 367 368 370 373 374 374 374 375 377 378 379
CHAPTER 11	Oth 11.1 11.2 11.3	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship 11.2.2 Private Copying and Home Taping 11.2.3 Reverse Engineering Incidental Uses 11.3.1 Ephemeral Copies for Broadcasting Purposes 11.3.2 Transient Digital Copies 11.3.3 Other Incidental Uses Public Uses 11.4.1 Quotation and Parody 11.4.2 Uses by the Media	359 364 367 368 370 373 374 374 374 375 377 378 379 382
CHAPTER 11	Oth 11.1 11.2 11.3	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship 11.2.2 Private Copying and Home Taping 11.2.3 Reverse Engineering Incidental Uses 11.3.1 Ephemeral Copies for Broadcasting Purposes 11.3.2 Transient Digital Copies 11.3.3 Other Incidental Uses Public Uses 11.4.1 Quotation and Parody 11.4.2 Uses by the Media 11.4.3 Educational Uses	359 364 367 368 370 373 374 374 375 377 378 379 382 383
CHAPTER 11	Oth 11.1 11.2 11.3	mptions, Statutory Licenses, and er Limitations on Exclusive Rights The Three-Step Test Private Uses 11.2.1 Research and Scholarship 11.2.2 Private Copying and Home Taping 11.2.3 Reverse Engineering Incidental Uses 11.3.1 Ephemeral Copies for Broadcasting Purposes 11.3.2 Transient Digital Copies 11.3.3 Other Incidental Uses Public Uses 11.4.1 Quotation and Parody 11.4.2 Uses by the Media 11.4.3 Educational Uses 11.4.4 Library and Archival Uses	359 364 367 368 370 373 374 374 375 377 378 379 382 383 385

Contents	xi	

	11.6 External Constraints on Copyright	390
	11.6.1 Misuse and Abuse of Copyright	391
	11.6.2 Freedom of Expression	393
CHAPTER 12	Enforcement	395
	12.1 Coercive Remedies	397
	12.1.1 Temporary Remedies	397
	12.1.2 Permanent Remedies	400
	12.2 Monetary Remedies	402
	12.3 Criminal Sanctions	405
	12.4 Customs (Border) Measures	406
APPENDICES		
	1. Berne Convention for the Protection of Literary and	
	Artistic Works [Paris Text, 1971]	409
	2. Berne Convention, Contracting Parties	447
	3. International Convention for the Protection of Performers,	
	Producers of Phonograms and Broadcasting Organisations [Rome Convention]	453
	4. Rome Convention, Contracting Parties	467
	5. WIPO Copyright Treaty [With Agreed Statements]	471
	6. WIPO Copyright Treaty, Contracting Parties	485
	7. WIPO Performances and Phonograms Treaty	
	[With Agreed Statements]	489
	8. WIPO Performances and Phonograms Treaty,	
	Contracting Parties	507
	9. Agreement on Trade-Related Aspects of Intellectual	
	Property Rights, Including Trade in Counterfeit Goods [TRIPs Agreement]	513
	10. Members of the World Trade Organization [WTO]	523
	11. International Copyright Relations of the	525
	United States of America	525
BIBLIOGRAPH	łY	543
INDEX		553